

# Report of the Risk Management Committee

## Dear Shareholders of Univentures Public Company Limited,

To support the Board of Directors in overseeing the organization's risk management in order to enable UV Group to achieve its business objectives, including creating confidence and credibility for investors and stakeholders in the business operations of UV Group. The Board of Directors has appointed the Risk Management Committee which consist of the President and the top level management of the main department and core business of UV Group in order to define and review the policy, target and risk management framework of the whole organization to be suitable for the business operations efficiently, in line with international standards and in accordance with the operation strategy, business plan, and changing circumstances in each period in order to appropriately reduce the impact on businesses and organizations and able to protect the interests of the Company and shareholders as a whole.

In fiscal year 2019 (1 October 2018 – 30 September 2019), the Risk Management Committee had total of 4 meetings. Summary of their duties are as follows:

- Establish and review the risk management framework throughout the organization to be appropriate and in line with UV Group's operating strategy and current situation.
- Supervise the main department and core business of UV Group to regularly analyze various risk factors that may occur, covering business risks, financial risks, operational risks, and external risks, including evaluated tendency of impact they may have on the Company in order to rank significance of risks and set risk management plan and guidelines to control such risks appropriately to manage the risks to be at acceptable level for the Company or reduce likelihood of such risks to occur in the future. In addition, the Risk Management Committee has set a plan to expand the scope of consideration and risk management of the organization to cover the risk of corruption.
- Monitored and reviewed execution of risk management plan under policy and framework for risk management that has been established for the year in order to ensure that the results of risk management were in line with

guidelines for risk control and strategy of the Company. They prepared report of the Risk Management Committee to present the key risks of the main department and core businesses of UV Group that the management supervises, reviews, and monitors each quarter to the Audit Committee for review before presenting to the Board of Directors in every quarter.

- Conducted self assessment of the Risk Management Committee, by the whole and by individual, for fiscal year 2019, to use the assessment results as information for performance development of the Risk Management Committee to be more efficient and effective in performing their duties.
- Establish the Risk Management Committee Charter in order to be clear about the duties, responsibilities and other important things related to the performance of the Risk Management Committee.

In summary, the Risk Management Committee view that in the fiscal year of 2019, the Company has a risk management system which is able to sufficiently oversee the significant risks of UV Group and be suitable for the business operations, including risk management continuously and in accordance with the changing circumstances. The Risk Management Committee holds regular meetings every quarter for the effectiveness of risk management, able to control the important risks of the organization efficiently at an acceptable level in order to help promote the Company to achieve success according to the plan and goals set.



**(Mr. Worawat Srisa-an)**  
**Chairman of the Risk Management**  
**Committee**